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**OFFICIAL**Date February 23, 2004Number of pages including cover sheet 5**To: US PTO, Mail Stop IDS****TC 2829**Fax No. **(703) 872-9306**

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CC:

**From: Mark Salvatore****Intellectual Property Paralegal**Telephone No. **(408) 433-7472**Fax No. **(408) 433-7460****REMARKS:**

☐ Urgent ☐ For your review ☒ Reply ASAP ☐ Please comment

Application Number: 09/884,736Filing date: June 19, 2001First named inventor: Catabay, WilburAttorney docket number: 00-654/RCE

Transmitted herewith for filing via facsimile:

- Transmittal Form PTO/SB/21
- Fee Transmittal PTO/SB/17
- Information Disclosure Statement (letter)
- Information Disclosure Statement by Applicant PTO/SB/O8A

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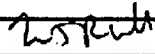
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PTO/SB/21 (02-04)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/884,738	
	Filing Date	June 18, 2001	
	First Named Inventor	Catsbay, Wilbur	
	Art Unit	2829	
	Examiner Name	Kilday, Lisa	
Total Number of Pages in This Submission	4	Attorney Docket Number	00-854/RCE

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual name	Timothy R. Croll, Reg. No. 36,771	
Signature		
Date	19 FEB 04	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Mark Salvatore	
Signature		Date 2-23-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

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**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ ) 180.00**Complete if Known**

Application Number	09/884,736
Filing Date	June 19, 2001
First Named Inventor	Catabay, Wilbur
Examiner Name	Kilday, Lisa
Art Unit	2829
Attorney Docket No.	00-654/RCE

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	12-2252
Deposit Account Name	LSI Logic Corporation

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$ ) 0.00</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>(\$ ) 0.00</b>

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to Institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 840	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 800	1802 800	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 180.00**SUBMITTED BY**

Name (Print/Type) Timothy R. Croll

Registration No. 36,771

Telephone 408-433-7625

Signature

[Signature]

Date

19 Feb 04

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of :**

**Wilbur Catabay et. al.**

**Serial No. :** 09/884,736

**Group Art Unit : 2829**

**Filed:** June 19, 2001

**Examiner :** Kilday, Lisa

**For :** In-Situ Plasma Treatment of Low-K Films to Generate a Non-Homogenous Film for use as an Etch Stop and or Hardmask in Damascene Structures

**Atty Docket :** / 00-654/RCE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date below:

Mark Salvatore

February 23, 2004      *Mal [Signature]*  
Date      Signature

## INFORMATION DISCLOSURE STATEMENT

**Commissioner for Patents**  
**P. O. Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

The references listed in the attached form, copies of which are attached, may be material to examination of above-identified application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR 1.56 and 1.97.

**It is requested that the information disclosed herein be made of record in the application.**

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 12-2252.

**LSI Logic Corporation**  
1551 McCarthy Blvd., MS D-106  
Milpitas, CA 95035  
408-433-7475

Date: 19 FEB 04

Respectfully submitted,

28 Nov

**Timothy Croll**

Reg. No. 36,771

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Complete if Known

Application Number	09/884,736
Filing Date	June-19, 2001
First Named Inventor	Wilbur Catabay
Group Art Unit	2829
Examiner Name	Lisa Kilday
Attorney Docket No.	/ 00-654/RCE

Sheet 1 of 1

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
		5,171,945		Su	12-15-1992	
		5,712,208		Tseng et al.	01-27-1998	
		6,100,184		Zhao et al.	08-08-2000	
		6,627,532		Gaillard et al.	09-30-2003	
		6,635,575		Xia et al.	10-21-2003	

 Examiner  
signature

 Date  
considered

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office,